







































## ITEM 4 - Other Business Activities

**DEED**

## ITEM 5 - Additional Compensation

Eugene does not receive any economic benefit for providing advisory services from anyone who is not a client.

## ITEM 6 - Supervision

William Patrick Fair, Chief compliance officer, 706 364-4281 monitors the advice that Eugene provides to clients. William regularly review all accounts and receives daily reports of activities in client account.

# FORM ADV PART 2B BROCHURE SUPPLEMENT

**WILLIAM PATRICK FAIR**

**CRD # 4243098**

AP Wealth Management, LLC  
1100 Frazier Drive  
Augusta, Ga 30909  
706 364-4281

June 15, 2020

This brochure supplement provides information about William Patrick Fair that supplements the AP Wealth Management brochure. You should have received a copy of that brochure. Please contact Eugene Francis McManus, Managing Member, if you did not receive AP Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about William Patrick Fair is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ITEM 2 - Educational Background & Business Experience

**Name:** William Patrick Fair

**Year of Birth:** 1965

### Formal Education after High School:

- Georgia Institute of Technology, Bachelor of Science, Management, 1988
- Augusta State University, MBA, 1997

### Business Background for the Previous Five Years:

- AP Wealth Management, Chief Compliance Officer, 01/2011 – present
- Augusta Capital Investors, Chief Compliance Officer, 11/2013 – 03/2019
- Augusta Capital Management, Chief Compliance Officer, 11/2013 – 08/2018
- LPL Financial, Registered Representative, 7/2010 – 9/2013
- First Citizens Securities, Financial Adviser, 01/2009 – 07/2010
- Wachovia Securities, Financial Adviser, 06/2005 – 01/2009

### Certifications:

- Certified Financial Planner practitioner;
  - Must complete course of study, agree to the CFP board's code of ethics, and complete 30 hours of continuing education every two years.

## ITEM 3 - Disciplinary Information

- A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. was found to have been involved in a violation of an investment-related statute or regulation; or
  4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

**None**

- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
    - i) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
    - ii) barring or suspending the supervised person's association with an investment-related business;
    - iii) otherwise significantly limiting the supervised person's investment-related activities; or
    - iv) imposing a civil money penalty of more than \$2,500 on the supervised person.

**None**

- C. A self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

**None**

- D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

**None**

## ITEM 4 - Other Business Activities

William does not engage in any other business activities.

## ITEM 5 - Additional Compensation

William does not receive any economic benefit for providing advisory services from anyone who is not a client.

## ITEM 6 - Supervision

Eugene McManus, 706 364-4281, monitors the advice that William provides to clients. Eugene regularly reviews all accounts and receives daily reports of activities in client accounts.

# FORM ADV PART 2B BROCHURE SUPPLEMENT

THOMAS O'GORMAN

[CRD # 6486292](#)

AP Wealth Management, LLC  
1100 Frazier Drive  
Augusta, Ga 30909  
706 364-4281

June 15, 2020

This brochure supplement provides information about Thomas O'Gorman that supplements the AP Wealth Management brochure. You should have received a copy of that brochure. Please contact William Patrick Fair, Chief Compliance Officer, if you did not receive AP Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas O'Gorman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



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## ITEM 2 - Educational Background & Business Experience

**Name:** Thomas O'Gorman

**Year of Birth:** 1962

**Formal Education after High School:**

- Fairfield University, Fairfield, Ct., BA, Economics, 1984
- NYU Stern School of Business, MBA – Finance and International Business, 1991

**Business Background for the Previous Five Years:**

- GN Media, Vice President of Finance, 01/2018 - 09/2019
- AP Wealth Management, Financial Advisor, 08/2016 - Present
- Equus Management, Financial Advisor, 06/2012 – 08/2016
- C.O. Investment, Financial Advisor, 03/2001 – 06/2012

## ITEM 3 - Disciplinary Information

- A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. was found to have been involved in a violation of an investment-related statute or regulation; or
  4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

**None**

- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
    - i) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
    - ii) barring or suspending the supervised person's association with an investment-related business;
    - iii) otherwise significantly limiting the supervised person's investment-related activities; or
    - iv) imposing a civil money penalty of more than \$2,500 on the supervised person.

**None**

- C. A self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of the SRO's rules and was:
    - i) barred or suspended from membership or from association with other members, or was expelled from membership;
    - ii) otherwise significantly limited from investment-related activities; or
    - iii) fined more than \$2,500.

**None**

D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

None

## **ITEM 4 - Other Business Activities**

Thomas is not engaged in any other business activities.

## **ITEM 5 - Additional Compensation**

Thomas does not receive any economic benefit for providing advisory services from anyone who is not a client.

## **ITEM 6 - Supervision**

William Patrick Fair, Chief Compliance Officer, 706 364-4281 monitors the advice that Thomas provides to clients. William regularly review all accounts and receive daily reports of activities in client accounts.

# FORM ADV PART 2B BROCHURE SUPPLEMENT

## CLAYTON QUAMME

CRD # 6025155

AP Wealth Management, LLC  
www.apwealth.com | 706 364-4281  
1100 Frazier Drive, Augusta, Ga 30909

June 15, 2020

This brochure supplement provides information about Clayton Quamme that supplements the AP Wealth Management brochure. You should have received a copy of that brochure. Please contact William Patrick Fair, Chief Compliance Officer, if you did not receive AP Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Clayton Quamme is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 - Educational Background & Business Experience

**Name:** Clayton Rolf Quamme

**Year of Birth:** 1984

**Formal Education after High School:**

- Appalachian State University, Bachelor of Science in Business Administration, Economics, 2007

**Business Background for the Previous Five Years:**

- AP Wealth Management, Financial Adviser, 04/2019 – present
- U.S. Army, Intelligence Reserve Officer, 12/2007-present
- Calvary Wealth, Financial Adviser, 10/2013 – 04/2019

**Certifications:**

- Certified Financial Planner practitioner;
  - Must complete course of study, agree to the CFP board's code of ethics, and complete 30 hours of continuing education every two years.

### **Item 3 Disciplinary Information**

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Clayton has no such disciplinary information to report.

### **Item 4 Other Business Activities**

Clayton is not engaged in any other business activities.

### **Item 5 Additional Compensation**

Clayton has no other income or compensation to disclose.

### **Item 6 Supervision**

In the supervision of our associated persons, advice provided is limited based on the restrictions set by AP Wealth Management, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

William Patrick Fair, Chief Compliance Officer, 706 364-4281 monitors the advice that Clayton provides to clients. William regularly reviews all accounts and receive daily reports of activities in client accounts.